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ADEMIS	15		Application Number	09/776,04						
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FORM			First Named Inventor	JOERG E	JOERG EHRHARDT					
			Art Unit	2174						
(to be used for all correspondence after initial filing)			Examiner Name	PENG KE	Œ					
	Pages in This Submission	5	Attorney Docket Number	7057-US0						
ENCLOSURES (Check all that apply)										
Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request			Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocat Change of Correspondence Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on 0	Address	ddress Status Letter Other Enclosure(s) (please ident below): POSTCARD RECEIPT					
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Typed or printed name PAULINE L. SISSECK						Date	Date NOVEMBER 7, 2006			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: JOERG EHRHARDT, JENS KITTAN and WOLFGANG BORGERT

Serial No.: 09/776,040

Art Unit: 2174

Filed: February 1, 2001

Examiner: Peng Ke

For:

SETTING UP A COMMUNICATION PROCEDURE BETWEEN INSTANCES AND A PROTOCOL TESTER USING THE METHOD

November 7, 2006

Mail Stop Appeal Brief - Patents COMMISSIONER OF PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

APPEAL BRIEF - SUPPLEMENT

Dear Sir:

In response to the Notification of Non-Compliant Appeal Brief dated October 31, 2006, attached are respective Evidence and Related Proceedings Appendices indicating that *no* evidence was submitted upon which Appellants rely and that there are *no* related proceedings that have a bearing on this appeal. Also attached is a replacement section for the Summary of the Claimed Subject Matter.

REMARKS

By this response Applicants have added the Evidence and Related Proceedings Appendices, satisfying the Examiner's requirements as to items 8 and 9.

Applicants also are submitting a replacement Summary of the Claimed Subject Matter which is deemed to contain a concise explanation of the subject matter defined in each of the independent claims being argued in the appeal, referring to the specification by page and line number and to the drawing by reference characters. It also identifies for independent claims 1 and 8 every means plus function and step plus function under 35 U.S.C. 112, sixth paragraph as well as setting out the structure, material or acts described in the specification, namely the GUI and the mouse for interacting with the GUI. Therefore it is deemed to satisfy the Examiner's requirements as to item 4.

Since the attached items may be submitted separately per MPEP1205.03, these items are submitted in lieu of a replacement appeal brief. Thus as supplemented by the attachments the Appeal Brief is now deemed to comply with all required provisions of 37 C.F.R. 41.37.

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NONE

Related Proceedings Appendix

NONE

Replacement Section

Summary of Claimed Subject Matter

Claims 1 and 8:

The presently claimed invention relates to setting up a communication procedure between instances of a communication network, one of which is a protocol tester (page 4, lines 7-9). Specifically the protocol tester emulates a protocol layer for testing a specified protocol layer of the item under test based on the communication procedure. Abstract communication interfaces of the emulated protocol layer are selected and communication data contained in description files is selected for exchange at the abstract communication interfaces. The communication procedure is automatically set up based upon the emulated protocol layer, the abstract communication interfaces and the communication data, with parameters for the abstract communication interfaces and the communication data being determined graphically. (Page 2, line 19 - page 3, line 5) As is well known by those skilled in the art, protocol layers refer to the various levels of an OSI protocol stack – generally there are seven layers from the physical layer to the application layers that make up the protocol stack. In order to test a specific protocol layer of the protocol stack, data has to be communicated from an adjacent protocol layer via appropriate abstract communication interfaces to the specific protocol layer.

More specifically Fig. 1 shows a graphical user interface (GUI) 10 that allows graphically "selecting instances to take part in the communication protocol, one of the instances being a protocol tester" emulating a component TC_1. (Page 4, lines 2-18) Fig. 2 shows the GUI for "selecting a protocol layer to be emulated by the protocol tester", in this example *isdn12*. (Page 4, line 19 - page 5, line 4) Fig. 3 shows the GUI for selecting the service access point (SAP) or "abstract communication interfaces", in this example *TS1low*. (Page 5, lines 5-8) Fig. 4 shows the GUI for "selecting communication data" from message pools or "description files". (Page 5, lines 9-12) Fig. 5 shows the GUI that provides a user with various types of information as a summary of the steps taken via Figs. 1-4, as well as a

graphical representation of the resulting portion of the communication procedure. (Page 5, lines 13-16; page 6, lines 1-5) Fig. 6 shows the GUI illustrating how the user may graphically set up the communication procedure, including the possibility of incorporating codes in a specified programming language (Forth) into a block by using an entry mask. (Page 5, lines 17-24) Finally Fig. 7 shows the GUI for an isdn-PDU setup for setting up a message from the tester to the instance under test. (Page 6, lines 6-10) Annex A1 shows the code automatically generated by the tester. (Page 6, lines 22-23). By performing the above interactions with the GUI the communication procedure may be automatically set up (page 6, lines 11-14).

The *means* for performing each of the elements recited in claim 8 is the GUI, such as on a PC screen, and the appropriate interaction with displayed symbols and texts, such as by using a mouse (page 4, lines 4-7). The above description of the claimed subject matter applies equally to both claims 1 and 8.

Respectfully submitted,

JOERG EHRHARDT ET AL

TEKTRONIX, INC. P.O. Box 500 (50-LAW) Beaverton, OR 97077-0001

(503) 627-5068

7057-US0

Matthew D. Rabdau Reg. No. 43,026

Attorney for Applicants